

# FACTS

## WHAT DOES VECTOR WEALTH MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Investment Experience
- Income, Expenses and Assets
- Account Transactions and Risk Tolerance

### How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Vector Wealth Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Vector Wealth Management share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	YES	NO
<b>For joint marketing with other financial companies</b>	NO	We Do Not Share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	YES	NO
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	NO	NO
<b>For our affiliates to market to you</b>	NO	We Do Not Share
<b>For nonaffiliates to market to you</b>	NO	We Do Not Share

### To limit our sharing

- Call **612-378-7560**
- Visit us online: **vectorwealth.com** or email **vwm@vectorwealth.com**

#### Please note:

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

### Questions?

Call 612-378-7560 or go to [vectorwealth.com](http://vectorwealth.com)

**Who is providing this notice?**

Vector Wealth Management

**What we do****How does Vector Wealth Management protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

**How does Vector Wealth Management collect my personal information?**

We collect your personal information, for example, when you

- Direct us to buy securities or direct us to sell your securities
- Tell us about your investment or retirement portfolio

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

**Why can't I limit all sharing?**

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

**What happens when I limit sharing for an account I hold jointly with someone else?**

Your choices will apply to everyone on your account—unless you tell us otherwise.

**Definitions****Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Non affiliates we share information with can include insurance companies, attorneys, accountants, and custodial firms.*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *This may include insurance companies, advisory firms, custodial firms, accountants and attorneys with which we have an agreement.*

**Other important information****State Laws:**

VT: In accordance with Vermont law, we will not share information we collect about Vermont residents with companies who are not affiliates except as permitted by law, such as your consent or to service your accounts. We will not share information about your creditworthiness with our affiliates without your authorization or consent, but we may share information about our transactions or experiences with you with our affiliates without your consent.

NV: We are providing you this notice pursuant to Nevada law. You may be placed on our Internal Do Not Call List by calling 1-877-383-2867, or by writing to us at 43 SE Main St. Suite 236, Minneapolis, MN 55414-1048. You may also contact the Nevada Attorney General's office: Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; telephone number: 702-486-3132; email BCPINFO@ag.state.nv.us

CA: In accordance with California law, we will not share information we collect about you with nonaffiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts. Among our affiliates, we limit information sharing to the extent required by California law.